

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

## NOTICE OF ALLOWANCE AND FEE(S) DUE

23850

7590

03/04/2003

ARMSTRONG, WESTERMAN & HATTORI, LLP 1725 K STREET, NW SUITE 1000 WASHINGTON, DC 20006

EXAMINER
WALLING, MEAGAN S

ART UNIT

702-034000

DATE MAILED: 03/04/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/942,581	08/31/2001	Takashi Moriuchi	010923	9413

TITLE OF INVENTION: MACHINE TOOL MAINTENANCE SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$300	\$1600	06/04/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>, THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status.
   See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

**Commissioner for Patents** Washington, D.C. 20231

(703)746-4000 **Fax** 

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

appropriate. All ful	aici contesponde	acc mending die rateir.	advance orders and in	Offication of intili	Committee rees v	mi oc ma	nea to an	- current	COLLEGEO	ICICATOR	uuu
indicated unless coi	rrected below or	directed otherwise in Blo	ck 1, by (a) specifyinį	g a new correspon	dence address;	and/or (b	) indicatii	ng a sepa	arate "FE	E ADI	DRE:
maintenance fee not											
CURRENT CORRESP	ONDENCE ADDRE	SS (Note: Legibly mark-up with any	corrections or use Block 1)		A certificate of						
23850	7590	03/04/2003		Fee(s)	Transmittal.		rtificate		be used	for :	any

ARMSTRONG, WESTERMAN & HATTORI, LLP 1725 K STREET, NW **SUITE 1000** WASHINGTON, DC 20006

other recess transmittan. This certaintake cannot be used to any outer accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.
(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	PLICATION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/942,581	08/31/2001	Takashi Moriuchi	010923	9413

TITLE OF INVENTION: MACHINE TOOL MAINTENANCE SYSTEM

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nonprovisional	NO	\$1300	\$300	\$1600	06/04/2003
EXAMINER		ART UNIT CLASS-SUBCLASS			
WALLING, MEAGAN S		2863	702-034000		
1. Change of corresponder CFR 1.363).	nce address or indication of	'Fee Address" (37	2. For printing on the patent fro the names of up to 3 registered	patent attorneys	
☐ Change of corresponde Address form PTO/SB/1:	ence address (or Change of ( 22) attached.	Correspondence	or agents OR, alternatively, (2) single firm (having as a member of the control o		
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			attorney or agent) and the nan registered patent attorneys or age is listed, no name will be printed.		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not b	be printed on the patent)
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):
☐ Issue Fee	☐ A check in the amount of the fee(s) is enclosed.
☐ Publication Fee	☐ Payment by credit card. Form PTO-2038 is attached.
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).
Commissioner for Patents is requested to apply the Issue Fee and Publi	cation Fee (if any) or to re-apply any previously paid issue fee to the application identified above.
(Authorized Signature) (Date)	
NOTE; The Issue Fee and Publication Fee (if required) will not be other than the applicant; a registered attorney or agent; or the assinterest as shown by the records of the United States Patent and Trade This collection of information is required by 37 CFR 1.311. The in obtain or retain a benefit by the public which is to file (and by the application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFI estimated to take 12 minutes to complete, including gathering, prepare completed application form to the USPTO. Time will vary depend case. Any comments on the amount of time you require to consuggestions for reducing this burden, should be sent to the Chief Ir Patent and Trademark Office, U.S. Department of Commerce, Wash NOT SEND FEES OR COMPLETED FORMS TO THIS A	signee or other party in mark Office.  formation is required to USPTO to process) an R 1.14. This collection is tring, and submitting the ing upon the individual uplete this form and/or officer. U.S.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Commissioner for Patents, Washington, DC 20231.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/942,581	08/31/2001	Takashi Moriuchi	010923	9413	
23850	7590 03/04/2003		EXAMIN	ER	
ARMSTRONG	,WESTERMAN & HA	WALLING, MEAGAN S			
1725 K STREET SUITE 1000	, NW		ART UNIT	PAPER NUMBER	
WASHINGTON	WASHINGTON, DC 20006				
			DATE MAILED: 03/04/2003		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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APPLICATION NO.	FI	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
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23850	7590	03/04/2003		EXAMIN	ER	
ARMSTRONG, WESTERMAN & HATTORI, LLP				WALLING, MEAGAN S		
1725 K STREET SUITE 1000	I, NW			ART UNIT	PAPER NUMBER	
WASHINGTON	-	06		2863		
UNITED STAT	ES			DATE MAILED: 03/04/2003		

# Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

NP
/V

	Application N	<b>o.</b>	Applicant(s)	
	09/942,581		MORIUCHI, TAKAS	H
Notic of Allowability	Examiner		Art Unit	
	Meagan S Wa	llina	2863	1
				L
The MAILING DATE of this communication appeal claims being allowable, PROSECUTION ON THE MERITS IS therewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIP of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) or other approp IGHTS. This ap 3 and MPEP 130	CLOSED in this apprivate communication plication is subject to	olication. If not includ will be mailed in due	ea course. THIS
1. This communication is responsive to <u>2/11/03 amendment</u> .				
2. The allowed claim(s) is/are <u>1 and 3-5</u> .	, .			•
3. The drawings filed on 31 August 2001 are accepted by the		440(=) (d) == (6		
<ol> <li>Acknowledgment is made of a claim for foreign priority und</li> <li>a)    ☐ All b) ☐ Some* c) ☐ None of the:</li> </ol>				
<ol> <li>Certified copies of the priority documents have</li> </ol>				
<ol><li>Certified copies of the priority documents have</li></ol>				
3. Copies of the certified copies of the priority do	cuments have b	een received in this	national stage applica	ation from the
International Bureau (PCT Rule 17.2(a)).			• •	
* Certified copies not received:		·		
<ol><li>Acknowledgment is made of a claim for domestic priority u</li></ol>			ional application).	
(a) The translation of the foreign language provisional a				•
<ol><li>Acknowledgment is made of a claim for domestic priority u</li></ol>	inder 35 U.S.C.	§§ 120 and/or 121.		,
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of 7.   A SUBSTITUTE OATH OR DECLARATION must be submits of the below of t	this application. nitted. Note the	THIS THREE-MOI	NTH PERIOD IS NOT	EXTENUABLE.
8. CORRECTED DRAWINGS must be submitted.				
(a) ☐ including changes required by the Notice of Draftsper	rson's Patent Dr	awing Review ( PTO	-948) attached	
1)  hereto or 2)  to Paper No				
(b) ☐ including changes required by the proposed drawing	correction filed	, which has b	een approved by the	Examiner.
(c) ☐ including changes required by the attached Examiner	r's Amendment	Comment or in the	Office action of Paper	· No
Identifying indicia such as the application number (see 37 CFR 1 f each sheet. The drawings should be filed as a separate paper	1.84(c)) should be	e written on the drawi	ngs in the top margin	(not the back)
9. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR T	osit of BIOLOG THE DEPOSIT (	ICAL MATERIAL I OF BIOLOGICAL MA	must be submitted. TERIAL.	Note the
Attachment(s)			•	
<ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statements (PTO-1449), Paper No</li> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	<u></u> .	4☐ Interview Summ 6☐ Examiner's Ame	al Patent Application nary (PTO-413), Pape endment/Comment ement of Reasons for	r No

Application/Control Number: 09/942,581

Art Unit: 2863

# **DETAILED ACTION**

# Allowable Subject Matter

Claims 1 and 3-5 are allowed.

The following is an examiner's statement of reasons for allowance: the claims are allowable over the prior art of record because none of the prior art, whether taken singularly or in combination, teaches the claimed combination.

Claim 1 requires a machine tool maintenance system teaching a judgement section for evaluating at least one of a temperature increase characteristic of the machine tool, a thermal displacement characteristic of the machine tool in accordance with the temperature increase, a vibration characteristic of the machine tool, and a noise characteristic of the machine tool on the basis of a detection signal detected by the sensor and the reference values stored in the reference value storage section for judgement on the acceptability.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Meagan S Walling whose telephone number is (703) 308-3084. The examiner can normally be reached on Monday through Friday 8:30 AM to 5 PM.

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Application/Control Number: 09/942,581

Art Unit: 2863

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Barlow can be reached on (703) 308-3126. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9318 for regular communications and (703) 872-9319 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

msw March 3, 2003 BRYAN BUI PRIMARY EXAMINER

13 pm 3/3/03